

Expression of Interest (EOI) for consultancy services

for Preparation of DPR, BID Documents, Monitoring ,
Engineering for OPRC Road Works.

Gujarat State Highway Project- II

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1. The Government of India on behalf of Government of Gujarat (hereinafter called "Borrower") has received loan from the International Bank for Reconstruction and Development (IBRD) (hereinafter called "WB") in the form of a ("loan") (hereinafter called "loan") toward the cost of Gujarat State Highway Project - II. The Borrower intends to apply part of the proceeds for consulting services.
2. Background

Government of Gujarat has received the assistance of the World Bank through Government of India for the upgradation and rehabilitation of State Highways in the State of Gujarat. GSHP II (the Project) is likely to cover about 625 km of such roads. Out of this, @ 130 km of road length (4 road corridors) has been identified for inclusion in the OPRC mode of execution.

- 3 The consulting services ("Services") broadly include:

- (i) Generic technical studies;
- (ii) Specific technical studies and preparation of bidding documents for Output- and Performance-based Road Contracts (OPRC);
- (iii) Support to the procurement process; and
- (iv) Training of PIU personnel regarding preparation and implementation of OPRC.
- (v) Project Management
- (vi) Conformance Monitoring and Management
- (vii) Condition Monitoring and Pavement Condition Modeling
- (viii) Roads Information and Data Analysis

The Services will be required to be discharged by way of Pre procurement and Post procurement phases of civil works.

During Pre procurement, the consultant is expected to do at least following:

Definition of service level classification, development of financial Model to be used for payment to contractor, Legal Framework and EIA and SIA Frameworks, Confirmation of contracting capacity to undertake and manage the works, Development of contract format, Industry Consultation Workshop, Development of a comprehensive approach to allocation and mitigation of risk, Review of the Adequacy of Current Information & Collection of Additional Data, Definition of service levels for each road included in the pilot study areas, Contract packaging, Conceptual Designs, Financial Model and Confidential price estimate for each road, Estimate and pricing for Emergency works, Preparation of

Contract Documentation, Prequalification of Contractors, *Pre-bid workshop, Tender Process and Evaluation of Tenders and, Training.*

Post-Procurement services would atleast include

assisting PIU/RBD in carrying out responsibilities of the Project implementation which interalia shall include, but not limited to clarifying interpretation/ queries on the Contract clauses/Data; Assist the process of initial handover/possession of the site to the Contractor, cause submission and improvement through review of all documents submitted/maintained by contractor under the contract, Health and safety Management Plan, Emergency Procedures and Contingency Plan, Traffic Management Plan, EMP / RAP /HIV_AIDS Prevention Plan and action plan for contract compliance,approve the proposed centre-line reference levels for the roads as proposed by the Contractor, assist the PIU/RBD's staff in completing inspections required, prepare required reports.

Terms of Reference (TOR) including the detailed scope of services are available on www.gshp2.gov.in

4. The Superintending Engineer, Project Implementation Unit, Gujarat State Highway Project -II, On behalf of Governor of Gujarat, now invites eligible consulting firms to submit their interest to provide Consultancy services for "Preparation of DPR, BID Documents, Monitoring , Engineering for OPRC Road Works. Gujarat State Highway Project- II." (herein after called "Service"). Consultant interested in providing the Service should provide information demonstrating that he has the required qualifications and relevant experience to perform the Services. Consultant may form Joint Venture of not exceeding 3 members to enhance their qualifications or have a sub consultancy agreement. Interested Consultant may apply for the above project based on their eligibility as per eligibility criteria mentioned in Section-IV.
5. Expression of Interest should be submitted in sealed cover and delivered at the Office of Executive Engineer, SRP Division Rajkot on or before 02/05/2014 by 12:00 hours (IST) and it shall be clearly marked "Expression of interest for Consultancy services for "Preparation of DPR, BID Documents, Monitoring , Engineering for OPRC Road Works. Gujarat State Highway Project- II."

Executive Engineer
State Road Project Division,
R&BD, Dr. Rajendraprasad Road,
Rajkot (Gujarat), India
PIN-360 001

6. R&BD now invites eligible engineering firms / companies / joint ventures to indicate their interest in providing the services

7. Particulars of Expression of interest Document (Proposal):

| | | |
|-----|---------------------------------|---|
| i) | Last date for submission of EOI | 02/05/14 up to 12:00 hours.(IST) |
| ii) | Opening of EOI | 02/05/14 at 12:30 hours. (IST) At Office Of The Executive Engineer, State Road Project Division, R&BD, Dr. Rajendraprasad Road, Rajkot (Gujarat), India PIN-360 001 In the presence of Representatives of applicants who wish to remain present. |

8 A Firm for an individual Debarred by the World Bank in accordance with the anticorruption guidelines shall be ineligible for this Assignment in any form or way. A list of debarred firms and individuals is available at the Banks external website: www.worldbank.org/debarr

9. Interested Consultant may obtain further information at following address:

Superintending Engineer,
Project Implementation Unit,
Ground Floor, Nirman Bhavan, Sector-10/A
Gandhinagar-382010
Gujarat.

Tel.: + 91 07923252986
Fax: + 91 07923251915

E-mail: se-piu-rnb@gujarat.gov.in

Tele: +91 70 23252986

Fax: +91 7923251915 e-mail: se-piu-rnb@gujarat.gov.in

GOVERNMENT OF GUJARAT
GUJARAT STATE HIGHWAY PROJECT-II (GSHP-II)
(Roads & Buildings Department)

RFP No. : 5/2014
LOAN NO : 8313-IN

Office of the Superintending Engineer
Project Implementation Unit
Ground Floor, Nirman Bhavan,
Sector-10/A, Gandhinagar-382010
Gujarat
Date: 01-04-2014

Section-1

Request for submission of Expression of Interest

To:

Dear Mr./Ms.:

1. The Government of India on behalf of Government of Gujarat (hereinafter called "Borrower") has applied for financing from the International Bank for Reconstruction and Development (IBRD) (hereinafter called "WB") in the form of a ("loan") (hereinafter called "loan") toward the cost of Gujarat State Highway Project - II. The Borrower intends to apply a portion of the proceeds of this loan to eligible payments under the contract for which this Request for Expression of Interest is issued.
The Superintending Engineer, on behalf Governor of Gujarat, Project Implementation Unit, Gujarat State Highway Project -II, invites expression of interest to provide the Service from the eligible Consultant. More details of the Service in the form of TOR will be available on website www.gshp2.gov.in
2. Short listing of eligible Consultant will be as per criteria described in this EOI, in accordance with the policies of the International Bank for Reconstruction and Development (IBRD) detailed in the Guidelines - Selection and Employment of Consultants by World Bank Borrowers- which can be found at the following website: www.worldbank.org/
3. The EOI includes the following documents:
Section I - Request for submission of Expression of Interest
Section II - Instructions for submission of Expression of Interest:
Section III – Guidelines for Preparation of Expression of Interest
Section IV – Eligibility and short listing Criteria
Annexure -I to VII
4. Details of the EOI's submission date, time and address is as mentioned in EOI Documents.

Yours Sincerely,

Superintending Engineer
Project Implementation Unit
Gandhinagar(Gujarat)

Section-II

Instructions for submission of Expression of Interest:

1. Consultant shall go through the guidelines provided under section III and IV before preparing EOI proposal.
2. Consultant who the meet short listing criteria given in section IV shall prepare EOI as per the guidelines given in section III. If the Consultant feels to submit certain information not covered in the guidelines would be in his interest, he may submit such information.
3. Accomplished Expression of Interest (Application) must be submitted together with a Letter of Intent not later than **12:00 hours (IST) on 02/05/2013**. Documents in support of all qualification information shall be submitted with application. Proposal of EOI with qualification information shall be furnished on/before the due date of submission at the address shown under para 13 here below.
4. The Application shall be basis of drawing up a shortlist of eligible consultants who will be invited to submit proposal for services required.
5. All Applications shall be submitted in English.
6. Power of attorney (on stamp paper duly notarized) of the person submitting the Expression of Interest on behalf of the Applicant shall be attached. Joint Venture (JV) shall not have more than 3 members. One of the members should be a Lead Member.
7. The Consultant (including the individual members of any Join Venture) shall submit only one Proposal, either in its own name of as part of a Joint Venture member in another Proposal, if a Consultant, including any joint Venture member, submits or participates in more than one proposal, all such proposals shall be disqualified and rejected.
8. The Application and all related correspondence and documents should be written in the English language. Supporting documents and printed literature furnished by Applicant with the Application may be in any other language provided that they are accompanied with translations in the English language. Supporting materials, which are not translated into English, may not be considered. For the purpose of interpretation and evaluation of the Application, the English language translation shall prevail.
9. R&BD reserves the right to reject any Applications, without assigning any reasons thereof.
10. The Applicant shall provide all the information sought under this Qualification Document. R&BD would evaluate only those Applications that are received in the required format and complete in all respects. Incomplete and / or conditional Applications shall be liable to rejection.
11. Detailed TOR will be available on website www.gshp2.gov.in
12. No claims whatsoever will be entertained if submission is not received by due date and time.
13. Any submission must be addressed to the following:
Office of the Executive Engineer,
State Road Project Division,

R&BD, Dr. Rajendraprasad Road,
Rajkot (Gujarat), India PIN-360 001

14. The Proposal of EOI must be submitted no later than:

Date: 02/05/2014, Time: 12:00 hours (IST)

Opening of the EOI Proposal,

Procedure of opening shall be:-

EOIs will be opened by SE PIU on pre- decided date and time specified in presence of member of opening committee and consultant or their authorised representative who have offered the EOI proposal may choose to remain present.

The opening shall take place at:

Office of the Executive Engineer,

State Road Project Division,

R&BD, Dr. Rajendraprasad Road,

Rajkot (Gujarat), India PIN-360 001

Date: 02/05/2014

Time: 12:30 hours (IST)

15. The Consultant shall submit a signed and complete EOI comprising the documents and forms in accordance with EOI documents.
16. An authorized representative of the Consultant shall sign the original submission letters in the required format for EOI submission and shall initial all pages. The authorization shall be in the form of a written power of attorney attached to the EOI Proposal.
17. The signed EOI shall be marked "ORIGINAL", and its one copy marked "COPY" as appropriate. Copy shall be made from the signed original. If there are discrepancies between the original and the copy, the original shall prevail.
18. The original and the copy of the EOI submission shall be placed inside of a sealed envelope clearly marked "EOI PROPOSAL", to provide Consultancy services for "Preparation of DPR, BID Documents, Monitoring, Engineering for OPRC Road Works". Gujarat State Highway Project-II", reference number, name and address of the Consultant, and with a warning "DO NOT OPEN UNTIL 02/05/2014, 12:00 HOURS (IST)."
19. The sealed envelopes containing the EOI submission original and copy shall be placed into one outer envelope and sealed. This outer envelope shall bear the submission address, -reference number, the name of the assignment, Consultant's name and the address, and shall be clearly marked "DO NOT OPEN BEFORE DATE 02/05/2014 HOURS 12:00 (IST)".
20. If the envelopes and packages with the EOI are not sealed and marked as required, the Client will assume no responsibility for the misplacement, loss, or premature opening of the EOI.

21. Any submission must be addressed to the following:

Office of the Executive Engineer,
State Road Project Division,
R&BD, Dr. Rajendraprasad Road,
Rajkot (Gujarat), India PIN-360 001

Section - III

Guidelines for Preparation of Expression of Interest

Following information shall be submitted using given formats and where no formats are specified, free format can be used.

1. Complete name of firm, date of establishment and type of organization whether individual, proprietorship, partnership, private limited company, public limited company etc. (Annexure I).
2. Exact and complete corporate/registered/home office address, business address, telephone numbers, fax numbers, E-mail and cable address. For consultant of foreign registry, indicate if there is any branch office(s) established in India with details in aforesaid manner. Information is to be furnished by sole applicant or members of JV individually (Annexure I).
3. If present firm is the successor to or outgrowth of one or more predecessor firms, fresh name(s) of former entity (ties) and year(s) of their original establishment with details in aforesaid manner. Information is to be furnished by sole applicant or members of JV individually (Annexure I).
4. Present a brief narrative description of the firms. Information is to be furnished by sole applicant or members of JV individually (Annexure I).
5. List of not more than two (2) principals who may be contacted by this Office. Listed principals must be empowered to speak for him or for the firm on policy and contractual matters. Information is to be furnished by sole applicant or members of JV individually. (Annexure I)
6. Under this item, indicate financial figures from consultancy business for past 5 (five) financial years. (Annexure II)
7. (i) Organizational strength of consultant and its constituents in case of a JV shall be given. (Annexure III)

(ii) Office logistics for consultant and its constituents in case of JV in terms of office space, engineering software. Availability of engineering software which are web enabled and have less than 10 concurrent user license shall be mentioned (Annexure IV).
8. Consultant's experience shall be given under four categories. The first category (A) would be Design / DPR preparation / proof checking of designs/DPR of BOT/BOOT/DBFOMT project (B) shall be of supervision consultancy during construction and maintenance (C) would be of QA-TA and IE (D) Procurement of contracts (NCB/ICB) as per World Bank norms. Experience in above four categories would be reported for similar nature road works and other widening and strengthening and / or rehabilitation road works. In case of JV applicant, each member has to likewise give above information. Only those works which are done as prime consultant need to be reported. **(Annexure V)**
9. As many references from employers as the consultant (for each member in case of JV) may choose shall be submitted Annexure-VI.
10. An undertaking for supply of true information shall be given as per Annexure VII.

Section - IV
Short Listing Criteria

a. Annual Turn over

1. Annual turnover of any one of the last five financial years i.e. from 2008 -2009 to 2012-2013 updated to the current financial year shall be more than Rs. 25 crores. In case of JV, the lead member shall have more than Rs. 20 crores of annual turnover (updated) of any one of the last five financial years. This threshold for each other member shall be Rs. 10 crores.
2. For arriving at updated value, turnover of any financial year shall be multiplied by the enhancement factor corresponding to that year.

b. Experience

1. Consultant must have as prime consultant successful experience as follows:
 - (i) Design and DPR Preparation experience of BOT/BOOT/DBFOMT project.
 - (ii) Experience as an Independent Engineer during construction and maintenance.

Experience as above shall be in respect of projects involving not less than 104 km of road work in each project. For JV, qualifying length for lead member would be 84 km and for other partner / s it would be 42 km. Such Project must have been completed within last ten Calendar years including 2013.

2. Experience in procurement of contract/s (NCB/ICB) as per World Bank's norms

A Project would qualify as similar Project if it meets with following:

- a. Widening of existing roads (by not providing separate carriageway)
- b. Rehabilitation of roads.

Consultant must satisfy 1 & 2 for becoming eligible for shortlisting.

Annexure-I

Expression of Interest Form for Consulting Engineering Firm or Joint Venture (To be filled up by each of the constituent in case of a Joint Venture)

1.

| Consultant | Date of Establishment | Country | Type of organization | | | |
|------------|-----------------------|---------|----------------------|-------------|-------------|-------|
| | | | Individual | Partnership | Corporation | Other |
| Name | | | | | | |

2. Corporate/registered Office / Business Address / Telephone Nos. / Cable Address/Email address of consultant and its branch offices for Consultant (including members in case of JV)
3. Consultant's former name and year of establishment (including that of members in case of JV)
4. Narrative description of Consultant firms if any (Use other sheet, if necessary)
5. Name of, not more than two (2) principals who may be contacted with title, telephone number/ fax number, E mail address.

Annexure II

Financial Statement of the last five financial years

Rupees in Crore

| Sr. No. | Particulars | | 2012-2013 | 2011-2012 | 2010-2011 | 2009-2010 | 2008-2009 |
|---------|--|-----------------|-----------|-----------|-----------|-----------|-----------|
| 1. | Annual turnover from Consulting business | Lead member | | | | | |
| | | other member(1) | | | | | |
| | | other member(2) | | | | | |
| 2 | Net Profit. | Lead member | | | | | |
| | | other member(1) | | | | | |
| | | other member(2) | | | | | |

Notes:

- i.** Values should be duly certified by statutory body like Chartered Accountant or Independent Auditors who are competent to do so as recognized by the State concerned.
- ii.** The amount shall be stated in Indian Rupees (INR).
- iii.** For the purpose of short listing, conversion to Indian Rupees shall be based average of the buying and selling rates of Reserve Bank of India as on the Proposal submission date.
- iv.** In case the exchange rate for any currency is not available as per the provision of this section, then R&BD reserves the right to use the rate available from an alternative source at its sole discretion.

| Sr. No. | Year | Updating factor |
|---------|-----------|-----------------|
| 1 | 2012-2013 | 1.0 |
| 2 | 2011-2012 | 1.1 |
| 3 | 2010-2011 | 1.21 |
| 4 | 2009-2010 | 1.2221 |
| 5 | 2008-2009 | 1.34431 |

Annexure IV

Office Logistics and software availability

- (A) Office space in sq. m. and ownership status
- (B) List of software

| Name of software | Whether web enabled? | Number of concurrent users permitted | cost of purchase in Rs. | Year of purchase | Utility and functions of the software |
|------------------|----------------------|--------------------------------------|-------------------------|------------------|---------------------------------------|
| | | | | | |
| | | | | | |
| | | | | | |

Annexure V

Details of Experience

| Sr No | Projects Name / Year | Type of services rendered including (A, B, C and D) | Cost of the assignment of category A Rs in Crore | Cost of the assignment of category B Rs in Crore | Cost of the assignment of category C Rs in Crore | Cost of the assignment of category D Rs In Crore | Client (With complete address, contact person, telephone No. Fax No and E mail address) | Fee in INR (Applicant's share in case of JV) | Duration in months | Inter national Funding Agency |
|-------|---|---|---|---|---|---|--|---|--------------------|-------------------------------|
| 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 |
| 1 | Sole Consultant or for each of the members in case of JV | | | | | | | | | |
| | (a) Funded by WB | | | | | | | | | |
| | (b) Funded by Multi Doner bank (other than WB) | | | | | | | | | |
| | (c) India | | | | | | | | | |

Note: **only those assignments shall be considered for which consultant has provided services as lead member in case that work is done by a JV.**

Each assignment shall be supported by following details:

Name of Overall assignment: _____

Location of Overall assignment: _____

Owner's Name and Address: _____

Completion (Actual/Estimated vis-à-vis Stipulated): _____

Description of assignment: _____

Description of Services provided by the firm: _____

Authorized Signatory
Name of Client

Annexure-VI
Employer's references

Annexure VII

Undertaking

I certify that the information in the above Expression of Interest forms is true to the best of my knowledge. I also understand that any misleading or wrong information will disqualified this application straightaway.

Auized Signatory of Applicant