Expression of Interest (EOI) for

Technical Assistance for Road Safety - Policy, Institutional Reviews and Road Safety Management Capacity Strengthening under GSHP-II

October 2017

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The Government of India on behalf of Government of Gujarat (hereinafter called "Borrower") has received financing from the International Bank for Reconstruction and Development (IBRD) (hereinafter called "WB") in the form of a ("loan") (hereinafter called "loan") toward the cost of Second Gujarat State Highway Project (GSHP-II). The Borrower intends to apply part of the proceeds for consulting services.

Background

- 1. The state Government of Gujarat (GOG) through the Government of India (GOI) has received funding assistance from the World Bank (WB) for implementation of the Second Gujarat State Highway Project (GSHP-II), to be managed primarily by the GOG Roads & Buildings Department (R&BD). It is intended that a portion of this WB funding will be used to finance consultancy, advisory and technical services required for implementation of various important activities during the Project which are aimed at developing and strengthening the road sector institutional and policy framework and capacities to meet the growing challenges for road safety. The GSHP-II also includes a road safety component.
- 2. The WB with the GoG conducted a Road Safety Management Capacity Review (RSMCR) in order to identify what would be required to establish a robust road safety management system, within the operational framework of the GSHP-II Road Safety component. This was aimed at bringing Gujarat's challenging road safety outcomes under control.
- **3.** Accordingly, it was decided to include following in the project;
 - A fully resourced Project Steering Committee (PSC) to lead and manage Safety component of the project;
 - Interventions across the agencies/ sectors in targeted high-risk, high-volume demonstration corridor(s)
 - Policy reviews of agreed road safety priorities, such as driver standards, heavy commercial vehicle safety, safe infrastructure design, deterrent policing and penalty frameworks, road crash data system improvement and vehicle safety.
 - Conduct of a formal review of existing institutional arrangements and recommendation of preferred options for a State Lead Agency role
 - Review of a road safety policy and action plan by the GoG by project completion
- **4.** The overall aim of the services is therefore to enable the R & BD to implement a sustainable combination of institutional arrangements, functions, staffing and other resources to engage the state's road safety challenges and responsibilities effectively, in a comprehensive, multi-sectorial and strategic manner. The assignment will have to be carried out with absolute harmony and inputs and/or support of various departments of GoG like Transport, Home, R&BD, Education, Health etc. under PIU-R&BD's directions on relevance to the TA.
- **5.** The R&BD will require various forms of external assistance and inputs. In this instance, it has been decided that the implementation of Road Safety Component

will require expert Technical Assistance (TA), to be engaged on the basis of consulting services. The overall assignment and its specific elements involved in this particular consultancy services are given in brief here under (The detailed draft TOR at this stage is made available at www.gshp2.gov.in):

The consulting services ("Services") include

- 1. The broad objectives of the required technical assistance services department specific (Transport, Home, R&BD, Education, Health etc.) and overall are as follows. Respective scope as detailed in Draft ToR shall form basis towards delivery of TA services:
 - Successfully plan and implement the safe corridor demonstration projects under GSHPII and support the R&BD, Home, Health, Education and Transport Departments (the departments) as needed, to implement road safety interventions including social awareness campaigns;
 - As a vital element of the demonstration corridor projects, support the departments in development of their road safety management capacity, and the R&BD Cell in particular to deliver the Secretariat role for the Departments, WG and the PSC, and the WG and R&BD Cell to effectively plan and oversee delivery of the safe demonstration corridor projects;
 - Successfully plan and implement reviews of agreed road safety policy priorities, such as driver standards, heavy commercial vehicle safety, safe infrastructure design, enhanced enforcement through deterrent policing and penalty frameworks, road crash data system improvement and vehicle safety;
 - Overall formal review of existing institutional arrangements and recommendation of preferred options for sustainable road safety management in Gujarat into the future;
 - Based on the experience of the corridor demonstration project(s) and the State's own ongoing initiatives support the GoG in review and revision (if required) of the State Road Safety Policy and develop a medium to long term Action plan for consideration of the GoG for improving statewide road safety outcomes.
- 2. The Superintending Engineer, Project Implementation Unit, GSHP-II, On behalf Governor of Gujarat, now invites eligible consulting firms to submit their interest to provide "Technical Assistance for Road Safety Policy, Institutional Reviews and Road Safety Management Capacity Strengthening under GSHP-II" (herein after called "Service"). Consultants interested in providing the Service should provide information demonstrating that he has the required qualifications and relevant experience to perform the Services. Consultant may form Joint Venture of not exceeding 3 members to enhance their qualifications or have a sub consultancy agreement. Interested Consultant may apply for the above project based on their eligibility as per eligibility criteria mentioned in Section-IV.
- 3. Expression of Interest should be submitted in sealed cover and delivered at the Office of The Superintending Engineer, PIU, Gandhinagar on or before 27/11/2017 by 12:00 hours (IST). It shall be clearly marked- Expression of interest for "Technical Assistance for Road Safety Policy, Institutional Reviews and Road Safety Management Capacity Strengthening under GSHP-II".

The submission shall only be made to following address:

Office of the Superintending Engineer, Project Implementation Unit, Ground Floor, Nirman Bhavan, Sector 10/A, Gandhinagar. 382010, Gujarat

Tel.: + 91 079 23252986 Fax: + 91 079 23251915

E-mail: se-piu-rnb@gujarat.gov.in; sepiu.dksolanki@gmail.com

- 4. R&BD invites eligible consulting Engineering firms / companies / joint ventures to indicate their interest in providing the services in conformity to this document.
- 5. The Particulars of Expression of interest Document (Proposal) are:

i)	Last date for submission of	27/11/2017 up to 12:00 hours (IST)
ii)	Opening of EOI	27/11/2017 at 12:30 hours (IST) at: Office of the Superintending Engineer, Project Implementation Unit, Ground Floor, Nirman Bhavan, Sector 10/A, Gandhinagar. 382010, Gujarat In the presence of Representatives of applicants who wish to remain present.

- 6. A Firm or an individual Debarred by the World Bank in accordance with the anticorruption guidelines shall be ineligible for this Assignment in any form or way. A list of debarred firms and individuals is available at the Banks external website: www.worldbank.org/debarr
- 7. Interested Consultant may obtain further information at following address:

Office of the Superintending Engineer, Project Implementation Unit, GSHP-II Roads and Buildings Department, Ground Floor, Nirman Bhavan, Sector-10/A Gandhinagar-382010 Gujarat.

Tel.: + 91 07923252986 Fax: + 91 07923251915

E-mail: se-piu-rnb@gujarat.gov.in, sepiu.dksolanki@gmail.com

GOVERNMENT OF GUJARAT

(Roads and Buildings Department)

SECOND GUJARAT STATE HIGHWAY PROJECT(GSHP-II)

	Office of the Superintending Engineer				
LOAN NO.:IN-8313	PROJECT IMPLEMENTATION UNIT, GSHP-II,				
	ROADS AND BUILDINGS DEPARTMENT				
	GROUND FLOOR, NIRMAN BHAVAN,				
	SECTOR-10/A,				
	Gandhinagar-382010 Gujarat				

DATE: 13/10/2017

Section-1: Request for submission of Expression of Interest

To:

Dear Mr./Ms.:

- The Government of India on behalf of Government of Gujarat (hereinafter called "Borrower") has received financing from the International Bank for Reconstruction and Development (IBRD) (hereinafter called "WB") in the form of a ("loan") (hereinafter called "loan") towards the cost of Second Gujarat State Highway Project (GSHP-II). The Borrower intends to apply a portion of the proceeds of this loan to eligible payments under the contract for which this Request for Expression of Interest is issued.
- The Superintending Engineer, on behalf Governor of Gujarat, Project Implementation Unit, Second Gujarat State Highway Project, invites expression of interest to provide the Service from the eligible Consultants. More details of the Service in the form of TOR will be available on website www.gshp2.gov.in
- 3. Short listing of eligible Consultants will be as per criteria described in this EOI, in accordance with the policies of the International Bank for Reconstruction and Development (IBRD) detailed in the Guidelines Selection and Employment of Consultants by World Bank Borrowers- which can be found at the following website: www.worldbank.org
- 4. The EOI includes the following documents:

Section I - Request for submission of Expression of Interest

Section II - Instructions for submission of Expression of Interest

Section III – Guidelines for Preparation of Expression of Interest

Section IV – Eligibility and short listing Criteria

Annexure -I to VII

Details of the EOI's submission date, time and address is as mentioned in EOI Documents.

Yours Sincerely,

Superintending Engineer, Project Implementation Unit, Ground Floor, Nirman Bhavan, Sector-10/A Gandhinagar-382010 Gujarat.

Tel.: + 91 07923252986

Fax: + 91 07923251915

E-mail: se-piu-rnb@gujarat.gov.in, sepiu.dksolanki@gmail.com

Section-II

Instructions for submission of Expression of Interest:

- 1. Consultant shall read thoroughly the guidelines provided under section III and IV before preparing EOI proposal.
- 2. Consultant who the meet short listing criteria given in section IV shall prepare EOI as per the guidelines given in section III. If the Consultant feels to submit certain information not covered in the guidelines would be in his interest, he may submit such information. But required details as per EOI document must be submitted in stipulated formats only.
- 3. Duly complete in all respects Expression of Interest (Application) must be submitted together with a Letter of Intent not later than 12:00 hours (IST) on 27/11/2017. Documents in support of all qualification information shall be submitted with application as required. Application of EOI with qualification information shall be furnished on/before the due date of submission at the address shown under para 13 of this section.
- 4. The Application shall be the basis of drawing up a shortlist of eligible consultants who will be invited to submit proposal for services required.
- 5. All Applications shall be submitted in English language only.
- 6. Power of Attorney (on stamp paper duly notarized) of the person submitting the Expression of Interest on behalf of the Applicant shall be attached. Joint Venture (JV) shall not have more than 3 members. One of the members should be a Lead Member. Such Joint venture details and roles, responsibilities shall be submitted duly signed by each member.
- 7. The Consultant (including the individual members of any Join Venture) shall submit only one Proposal, either in its own name of as part of a Joint Venture member in another Proposal, if a Consultant, including any joint Venture member, submits or participates in more than one proposal, all such proposals shall be disqualified and rejected.
- 8. The Application and all related correspondence and documents should be written in the English language only. Supporting documents and printed literature furnished by Applicant with the Application may be in any other language provided that they are accompanied with translations in the English language. Supporting materials, which are not translated into English, may not be considered. For the purpose of interpretation and evaluation of the Application, the English language translation shall prevail.
- 9. R&BD reserves the right to reject any Applications, without assigning any reasons thereof, at any stage.
- 10. The Applicant shall provide all the information sought under this Qualification Document. R&BD would evaluate only those Applications that are received in the required format and complete in all respects. Incomplete and / or conditional Applications shall be liable to rejection.
- 11.Detailed TOR will be available on website www.qshp2.gov.in
- 12.No claims whatsoever will be entertained if submission is not received by due date and time.

13. The submission must be addressed to the following:

Office of the Superintending Engineer,
Project Implementation Unit,
Ground Floor, Nirman Bhavan, Sector 10/A,
Gandhinagar. 382010, Gujarat
Tel.: + 91 079 23252986 Fax: + 91 079 23251915

14. The Proposal of EOI must be submitted no later than:

Date: 27/11/2017 Time: 1200 HRS IST

15. The opening of EOI Proposal:

Procedure of opening shall be: EOIs will be opened by SE PIU on stipulated date and time in the presence of member of opening committee and consultant or their authorised representative who have offered the EOI proposal may choose to remain present.

The opening shall take place at:

Office of the Superintending Engineer,

Project Implementation Unit,

Ground Floor, Nirman Bhavan, Sector 10/A,

Gandhinagar. 382010, Gujarat

Tel.: + 91 079 23252986 Fax: + 91 079 23251915

Date: 27/11/2017 Time: 1230 HRS IST

- 16.An authorized representative of the Consultant shall sign the original submission letters in the required format for EOI submission and shall initial all pages. The authorization shall be in the form of a written power of attorney attached to the EOI Proposal.
- 17. The signed EOI shall be marked "ORIGINAL", and its one copy marked "COPY" as appropriate. Copy shall be made from the signed original. If there are discrepancies between the original and the copy, the original shall prevail.

The original and the copy of the EOI submission shall be placed inside of a sealed envelope clearly marked "EOI PROPOSAL" for "Technical Assistance for Road Safety

- Policy, Institutional Reviews and Road Safety Management Capacity Strengthening under GSHP-II", reference number, name and address of the Consultant, and with a warning "Do Not Open until 27/11/2017, Hours 1230 (IST)."
- 18. The sealed envelopes containing the EOI submission original and copy shall be placed into one outer envelope and sealed. This outer envelope shall bear the submission address, -reference number, the name of the assignment, Consultant's name and the address, and shall be clearly marked "Do NOT OPEN BEFORE DATE 27/11/2017, Hours 1230 (IST)".
- 19.If the envelopes and packages with the EOI are not sealed and marked as required, the Client will assume no responsibility for the misplacement, loss, or premature opening of the EOI.

Section - III

Guidelines for Preparation of Expression of Interest

Following information shall be submitted using given formats and where no formats are specified, appropriate standard format/s may be used.

- 1. Complete name of firm, date of establishment and type of organization whether individual, proprietorship, partnership, private limited company, public limited company etc. (as per Annexure I).
- 2. Exact and complete corporate/registered/home office address, business address, telephone numbers, fax numbers, E-mail and cable address. For consultant of foreign registry, indicate if there is any branch office(s) established in India with details in aforesaid manner. Information is to be furnished by sole applicant or members of JV individually (as per Annexure I).
- 3. If present firm is the successor to or outgrowth of one or more predecessor firms, fresh name(s) of former entity (ties) and year(s) of their original establishment with details in aforesaid manner. Information is to be furnished by sole applicant or members of JV individually (as per Annexure I).
- 4. Present a brief narrative description of the firms. Information is to be furnished by sole applicant or members of JV individually (as per Annexure I).
- 5. List of not more than two (2) principals who may be contacted by this Office. Listed principals must be empowered to speak for him or for the firm on policy and contractual matters. Information is to be furnished by sole applicant or members of JV individually. (as per Annexure I)
- 6. Under this item, indicate financial figures from consultancy business for past 5 (five) financial years. (as per Annexure II)
- 7. (i) Organizational strength of consultant and its constituents in case of a JV shall be given (as per Annexure III).
 - (ii) Office logistics for consultant and its constituents in case of JV in terms of office space, Engineering software. Availability of engineering software which are web enabled and have not less than 10 concurrent user license shall be mentioned. (as per Annexure IV).
- 8. Consultant's experience shall be given under six categories.
 - A. Assignment involving drafting/reviewing road safety policy framework and strategy/legislation in any developing country.
 - B. Assignment involving formulation and implementation of detailed action plans for safe infrastructure and safe users including for enhanced enforcement, improved driver licensing and better post-trauma care.
 - C. Assignment involving development/implementation of crash data management system, crash investigation training and enforcement strategies.
 - D. Assignment involving road safety training/capacity building and institutional arrangements.
 - E. Experience in detailed Road Safety related engineering design and preparation of bidding documents.
 - F. Assignment involving planning and implementation of safe corridor demonstration project(s) including Monitoring & Evaluation and social awareness campaigns. (as per Annexure V)
- 9. As many references from employers as the consultant (for each member in case of JV) may choose shall be submitted as per Annexure-VI.
- 10. An undertaking for supply of true information shall be given as per Annexure VII.

Section - IV <u>Short Listing Criteria</u>

a. Annual Turn over

- 1) Annual turnover of any one of the last five financial years i.e. from 2012 -2013 to 2016-2017 updated to the current financial year shall be more than Rs 25 crores. In case of JV, the lead member shall have more than Rs 15 Crores of annual turn-over (updated) of any one of the last five financial years. This threshold for each other member shall be Rs 10 crores.
- 2) For arriving at updated value, turnover of any financial year shall be multiplied by the enhancement factor corresponding to that year.

b. **Experience**

- A. Assignment involving drafting/reviewing road safety policy framework and strategy/legislation in any developing country.
- B. Assignment involving formulation and implementation of detailed action plans for safe infrastructure and safe users including for enhanced enforcement, improved driver licensing and better post-trauma care.
- C. Assignment involving development/implementation of crash data management system, crash investigation training and enforcement strategies.
- D. Assignment involving road safety training/capacity building and institutional arrangements.
- E. Experience in detailed Road Safety related engineering design and preparation of bidding documents.
- F. Assignment involving planning and implementation of safe corridor demonstration project(s) including Monitoring & Evaluation and social awareness campaigns.

For JV, Prime Consultant must have completed at least four types of assignments out of the six mentioned above and the JV partner must have done the remaining type of assignment.

For sole consultant, he must have completed each of the above six types of assignments. All the assignments must have been funded by World Bank or by any other multi donor banks in any developing country.

Annexure-I

Expression of Interest Form for Consulting Engineering Firm or Joint Venture (To be filled up by each of the constituent in case of a Joint Venture)

1.

Consultant	Date of Establishment	Country	Type of organization					
			Individual	Partnership	Corporation	Other		
Name								

- Corporate/registered Office / Business Address / Telephone Nos. / Cable Address/Email address of consultant and its branch offices for Consultant (including members in case of JV)
- **3.** Consultant's former name and year of establishment (including that of members in case of JV)
- **4.** Narrative description of Consultant firms if any (Use other sheet, if necessary)
- **5.** Name of, not more than two (2) principals who may be contacted with title, telephone number/ fax number, E mail address.

Annexure II

Financial Statement of the last five financial years.

In INR

Sr. No.	Particulars		2016-17	2015-16	2014-15	2013-14	2012-13
1.	Annual	Lead member					
	turnover from	other member(1)					
	Consulting business	other member(2)					
2	Net Profit.	Lead member					
		other member(1)					
		other member(2)					

Notes:

- i. Values should be duly certified by statutory body like Chartered Accountant or Independent Auditors who are competent to do so as recognized by the State concerned.
- ii. The amount shall be stated in Indian Rupees (INR).
- **iii.** For the purpose of short listing, conversion to Indian Rupees shall be based average of the buying and selling rates of Reserve Bank of India as on the Proposal submission date.
- **iv.** In case the exchange rate for any currency is not available as per the provision of this section, then R&BD reserves the right to use the rate available from an alternative source at its sole discretion.

Sr. No.	Year	Updating factor
1	2016-17	1.0
2	2015-16	1.1
3	2014-15	1.21
4	2013-14	1.2221
5	2012-13	1.34431

Annexure III

Organizational strength:

Name	Total	Engineers, road safety and	Engineers, road safety	Engineers, road safety	Engineers, road safety and institutional			Remarks		
	Strength	institutional expert on payroll	and institutional expert	and institutional expert	expert having					
		and having over 15 years'	continuously on payroll	working only in	Doctorates Post Graduates Gr		Graduates	Diploma		
		experience	for over 10 years	road sector						
						MBA	OTHERS			
_										

Annexure IV

Office Logistics and software availability

- (A) Office space in sq. m. and ownership status
- G. List of software

Name of	Whether	Number of	cost of	Year of	Utility and
software	web	concurrent	purchase	purchase	functions of
	enabled?	users	in De		the
		permitted	in Rs.		software

Annexure V

Details of Experience

Sr No	Projects Name / Year	Type of services rendered including (A, B,C, D, E & F)@	Cost of the assignment of category A Rs. In cr.	assignment		Cost of the assignment of category D Rs. In cr	Cost of the assignment of category E Rs. In cr	Cost of the assignment of category F Rs. In cr	Client (With complete address, contact person, telephone No. Fax No and E mail address)	(Applicant's	Duration in months	Funding Agency
1	2	3	4	5	6	7	8		9	10	11	12
1	Sole Consultant or for each of the members in case of JV											
	(a) Funded by WB											
	(b) Funded by Multi Doner bank (other than WB)											
	(c) India											

- A. Assignment involving drafting/reviewing road safety policy framework and strategy/legislation in any developing country.
- B. Assignment involving formulation and implementation of detailed action plans for safe infrastructure and safe users including for enhanced enforcement, improved driver licensing and better post-trauma care.
- C. Assignment involving development/implementation of crash data management system, crash investigation training and enforcement strategies.
- D.Assignment involving road safety training/capacity building and institutional arrangements.
- E. Experience in detailed Road Safety related engineering design and preparation of bidding documents.
- F. Assignment involving planning and implementation of safe corridor demonstration project(s) including Monitoring & Evaluation and social awareness campaigns.

Note: only those assignments shall be considered for which consultant has provided services as lead member in case that work is done by a JV. Each assignment shall be supported by following details:

Name of Overall assignment:	
Location of Overall assignment:	
Owner's Name and Address:	
Completion (Actual/Estimated vis-à-vis Stipulated):	
Description of assignment:	
Description of Services provided by the firm:	

Authorized Signatory
Name of Client

Annexure-VI

Employer's references

Annexure VII

Undertaking

I certify that the information in the above Expression of Interest forms is true to the best of my knowledge. I also understand that any misleading or wrong information will disqualified this application straightaway.

President / Managing Director or Authorized Signatory of Applicant