

GOVERNMENT OF GUJARAT, INDIA



Expression of Interest (EOI)

for

**INDEPENDENT CONSULTANT FOR MEHSANA-HIMATNAGAR FOUR
LANING ON DBFOMT ANNUITY CONTRACT UNDER GSHP-II**

April 2015

**Project Implementation Unit
Ground Floor, 'Nirman Bhavan,
Sector 10/A, Gandhinagar, Gujarat –382 010, India
Tel.: 079 – 23252986 ,Fax: 079 – 23251915
E-mail: se-piu-rnb@gujarat.gov.in**

ROADS AND BUILDINGS DEPARTMENT

Expression of Interest (EOI)
for
Consultancy Services for
INDEPENDENT CONSULTANT FOR MEHSANA-HIMATNAGAR FOUR
LANING ON DBFOMT ANNUITY CONTRACT UNDER GSHP-II

1. The Government of India on behalf of Government of Gujarat (hereinafter called "Borrower") has received financing from the International Bank for Reconstruction and Development (IBRD) (hereinafter called "WB") in the form of a ("loan") (hereinafter called "loan") toward the cost of Second Gujarat State Highway Project (GSHP-II). The Borrower intends to apply part of the proceeds for consulting services.

Background

2. The state Government of Gujarat (GOG) through the Government of India (GOI) has received funds from the World Bank (WB) for implementation of the Second Gujarat State Highway Project (GSHP-II), is being managed primarily by the GOG Roads & Buildings Department (R&BD). It is intended that a portion of this WB funding will be used to finance consultancy, advisory and technical services required for implementation of various important activities during the Project.
3. The Roads and Building Department (the "Government") is engaged for the development of State Highways and as part of this endeavour, the Government has decided to undertake four laning of 61 km long corridor between Mehsana and Himatnagar through public-private partnership (PPP) on Design, Build, Finance, Operate, Maintain and Transfer (DBFOMT) on Modified Annuity Concept with a Concession period of 12 years including construction period of 2 years.
4. PIU, R&BD, GOG intend to appoint Consultant to act as Independent Consultant for implementation of the above DBFOMT (Annuity) project.
5. The duration of the services shall be three months in development period + two years construction period + nine months in O&M period.
6. The Project Implementation Unit (PIU) of the R&BD now invites eligible Consultants / Agencies to submit an Expression of Interest (EOI) for the provision of these services

The consulting services include:

7. As per Terms and Conditions of the Concession Agreement, the Independent Consultant is required to:
 - a. independently review activities associated with design, design review, construction and operation and maintenance of the project on behalf of both R&BD, GoG and Concessionaire so as to ensure compliance of requirements of Concession Agreement
 - b. report to PIU, R&BD, GoG on the Financial and Technical aspects of the project,

- c. assist the parties to the Concession Agreement in arriving at an amicable settlement of disputes, if any
8. The Superintending Engineer, Project Implementation Unit, GSHP-II, on behalf Governor of Gujarat, now invites eligible consulting firms to submit their interest to provide consultancy services for “Independent Consultant for DBFOMT Annuity Contract under GSHP-II “(herein after called “Service”). Consultants interested in providing the Service should provide information demonstrating that he has the required qualifications and relevant experience to perform the Services. Consultant may form Joint Venture of not exceeding 3 members to enhance their qualifications or have a sub consultancy agreement. Interested Consultants may apply for the above project based on their eligibility as per eligibility criteria mentioned in Section-IV.
9. Expression of Interest should be submitted in sealed cover and delivered at the Office of The Executive Engineer, SRP Division Mehsana on or before **15/05/2015 by 16:00 hours (IST)**. It shall be clearly marked- **Expression of Interest for “Consultancy Services for Independent Consultant for Mehsana-Himatnagar four laning on DBFOMT Annuity Contract under GSHP-II”**.
10. The submission shall only be made to following address:
- Office of the Executive Engineer,
State Road Project Division
Beside Road & Building Sub-division
Opposite Circuit House
Mehsana – 384002 Gujarat
Tel.: + 91 276 2231080

e-mail: se-piu-rnb@gujarat.gov.in, sepiu.dksolanki@gmail.com
11. R&BD invites eligible consulting Engineering firms / companies / joint ventures to indicate their interest in providing the services in conformity to this document. The Particulars of Expression of Interest Document (Proposal) are:

i)	Last date for submission of EOI	_15/05/2015 up to 16:00 hours (IST)
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ii)	Opening of EOI	<p>15/05/2015 at 16:30 hours (IST) at: Office of the Executive Engineer, State Road Project Division Beside Road & Building Sub-division Opposite Circuit House Mehsana – 384002 Gujarat Tel: + 91 276 2231080</p> <p>In the presence of Representatives of applicants who wish to remain present.</p>
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12. A Firm or an individual Debarred by the World Bank in accordance with the anticorruption guidelines shall be ineligible for this Assignment in any form or way. A list of debarred firms and individuals is available at the Banks external website: www.worldbank.org/debarr

13. Interested Consultants may obtain further information at following address:

Office of the Superintending Engineer,

Project Implementation Unit, GSHP-II

Roads and Buildings Department,

Ground Floor, Nirman Bhavan, Sector-10/A

Gandhinagar-382010 Gujarat.

Tel.: + 91 07923252986

Fax: + 91 07923251915

E-mail: se-piu-rnb@gujarat.gov.in, sepiu.dksolanki@gmail.com

GOVERNMENT OF GUJARAT
(Roads and Buildings Department)
SECOND GUJARAT STATE HIGHWAY PROJECT (GSHP-II)

NO. 02/2014-15

LOAN NO.:IN-8313

DATE: 13/04/2015

Office of the Superintending Engineer
Project Implementation Unit, GSHP-II,
Roads and Buildings Department
Ground Floor, Nirman Bhavan,
Sector-10/A,
Gandhinagar-382010 Gujarat

Section-1

Request for submission of Expression of Interest

To:

Dear Mr./Ms.:

1. The Government of India on behalf of Government of Gujarat (hereinafter called "Borrower") has received financing from the International Bank for Reconstruction and Development (IBRD) (hereinafter called "WB") in the form of a ("loan") (hereinafter called "loan") towards the cost of Second Gujarat State Highway Project (GSHP-II). The Borrower intends to apply a portion of the proceeds of this loan to eligible payments under the contract for which this Request for Expression of Interest is issued.
2. The Superintending Engineer, on behalf Governor of Gujarat, Project Implementation Unit, Second Gujarat State Highway Project, invites expression of interest to provide the Service from the eligible Consultants. More details of the Service in the form of TOR will be available on website www.gshp2.gov.in
3. Short listing of eligible Consultants will be as per criteria described in this EOI, in accordance with the policies of the International Bank for Reconstruction and Development (IBRD) detailed in the Guidelines - Selection and Employment of Consultants by World Bank Borrowers- which can be found at the following website: www.worldbank.org
4. The EOI includes the following documents:
 - Section I - Request for submission of Expression of Interest
 - Section II - Instructions for submission of Expression of Interest
 - Section III – Guidelines for Preparation of Expression of Interest
 - Section IV – Eligibility and short listing Criteria
 - Annexure -I to VII
5. Details of the EOI's submission date, time and address is as mentioned in EOI Documents.

Yours Sincerely,

Superintending Engineer,
Project Implementation Unit,
Ground Floor, Nirman Bhavan,
Sector-10/A Gandhinagar-382010 Gujarat.

Tel.: + 91 07923252986

Fax: + 91 07923251915

E-mail: se-piu-rnb@gujarat.gov.in,
sepiu.dksolanki@gmail.com

Section-II

Instructions for submission of Expression of Interest:

1. Consultant shall read thoroughly the guidelines provided under section III and IV before preparing EOI proposal.
2. Consultant who the meet short listing criteria given in section IV shall prepare EOI as per the guidelines given in section III. If the Consultant feels to submit certain information not covered in the guidelines would be in his interest, he may submit such information. But required details as per EOI document must be submitted in stipulated formats only.
3. Duly complete in all respects Expression of Interest (Application) must be submitted together with a Letter of Intent not later than **16.00 hours (IST) on 15/05/2015**. Documents in support of all qualification information shall be submitted with application as required. Application of EOI with qualification information shall be furnished on/before the due date of submission at the address shown under para 13 of this section.
4. The Application shall be the basis of drawing up a shortlist of eligible consultants who will be invited to submit proposal for services required.
5. All Applications shall be submitted in English language only.
6. Power of Attorney (on stamp paper duly notarized) of the person submitting the Expression of Interest on behalf of the Applicant shall be attached. Joint Venture (JV) shall not have more than 3 members. One of the members should be a Lead Member. Such Joint venture details and roles, responsibilities shall be submitted duly signed by each member in originals.
7. The Consultant (including the individual members of any Join Venture) shall submit only one Proposal, either in its own name of as part of a Joint Venture member in another Proposal, if a Consultant, including any joint Venture member, submits or participates in more than one proposal, all such proposals shall be disqualified and rejected.
8. The Application and all related correspondence and documents should be written in the English language only. Supporting documents and printed literature furnished by Applicant with the Application may be in any other language provided that they are accompanied with translations in the English language. Supporting materials, which are not translated into English, may not be considered. For the purpose of interpretation and evaluation of the Application, the English language translation shall prevail.
9. R&BD reserves the right to reject any Applications, without assigning any reasons thereof, at any stage.
10. The Applicant shall provide all the information sought under this Qualification Document. R&BD would evaluate only those Applications that are received in the required format and complete in all respects. Incomplete and / or conditional Applications shall be liable to

rejection.

11. Detailed TOR will be available on website www.gshp2.gov.in

12. No claims whatsoever will be entertained if submission is not received by due date and time.

13. The submission must be addressed to the following:

Office of the Executive Engineer,
State Road Project Division
Beside Road & Building Sub-division
Opposite Circuit House
Mehsana – 384002 Gujarat
Tel.: + 91 276 2231080

14. The Proposal of EOI must be submitted no later than:

Date: 15/05/2015
Time: 16:00 hours (IST)

15. The opening of EOI Proposal:

Procedure of opening shall be:- EOIs will be opened by SE PIU on stipulated date and time in the presence of member of opening committee and consultant or their authorised representative who have offered the EOI proposal may choose to remain present.

The opening shall take place at:
Office of the Executive Engineer,
State Road Project Division
Beside Road & Building Sub-division
Opposite Circuit House
Mehsana – 384002 Gujarat
Tel.: + 91 276 2231080
Date: 15/05/2015
Time: 16:30 hours (IST)

16. An authorized representative of the Consultant shall sign the original submission letters in the required format for EOI submission and shall initial all pages. The authorization shall be in the form of a written power of attorney attached to the EOI Proposal.

17. The signed EOI shall be marked “Original”, and its one copy marked “Copy” as appropriate. Copy shall be made from the signed original. If there are discrepancies between the original and the copy, the original shall prevail.

18. The original and the copy of the EOI submission shall be placed inside of a sealed envelope clearly marked “EOI PROPOSAL”, for “**Independent Consultant for DBFOMT Annuity Contract under GSHP-II**”, reference number, name and address of the Consultant, and with a warning “**DO NOT OPEN UNTIL 15/05/2015, 16:30 HOURS (IST).**”

19. The sealed envelopes containing the EOI submission original and copy shall be placed into one

outer envelope and sealed. This outer envelope shall bear the submission address, -reference number, the name of the assignment, Consultant's name and the address, and shall be clearly marked "**Do NOT OPEN BEFORE DATE 15/05/2015 HOURS 16:30 (IST)**".

20. If the envelopes and packages with the EOI are not sealed and marked as required, the Client will assume no responsibility for the misplacement, loss, or premature opening of the EOI.

Section-III

Guidelines for Preparation of Expression of Interest

Following information shall be submitted using given formats and where no formats are specified, appropriate standard format/s may be used.

1. Complete name of firm, date of establishment and type of organization whether individual, proprietorship, partnership, private limited company, public limited company etc. (as per Annexure I).
2. Exact and complete corporate/registered/home office address, business address, telephone numbers, fax numbers, E-mail and cable address. For consultant of foreign registry, indicate if there is any branch office(s) established in India with details in aforesaid manner. Information is to be furnished by sole applicant or members of JV individually (as per Annexure I).
3. If present firm is the successor to or outgrowth of one or more predecessor firms, fresh name(s) of former entity (ties) and year(s) of their original establishment with details in aforesaid manner. Information is to be furnished by sole applicant or members of JV individually (as per Annexure I).
4. Present a brief narrative description of the firms. Information is to be furnished by sole applicant or members of JV individually (as per Annexure I).
5. List of not more than two (2) principals who may be contacted by this Office.
Listed principals must be empowered to speak for him or for the firm on policy and contractual matters. Information is to be furnished by sole applicant or members of JV individually. (as per Annexure I)
6. Under this item, indicate financial figures from consultancy business for past 5 (five) financial years. (as per Annexure II)
7. (i) Organizational strength of consultant and its constituents in case of a JV shall be given. (as per Annexure III)
(ii) Office logistics for consultant and its constituents in case of JV in terms of office space, Engineering software. Availability of engineering software which are web enabled and have less than 10 concurrent user license shall be mentioned. (as per Annexure IV).
8. Consultant's experience shall be given under three categories with completion certificates.
 - A. Shall be IE/IC of four laning and above for state/national highway
 - B. Shall be of supervision consultancy for 4/6 laning of state/national highways.
 - C. Design / DPR preparation / proof checking of designs/DPR for similar 4 / 6 laning work of state/national highways.Experience in above three categories would be reported for similar nature road works and other widening and strengthening road works.
9. In case of JV applicant, each member has to likewise give above information. Only those works which are done as prime consultant need to be reported. (Annexure VI)
10. An undertaking for supply of true information shall be given as per Annexure VII.

Section-IV

Short Listing Criteria

a. Consultant can be a Joint venture of not more than 3 firms. No Consultant can bid for a given work simultaneously as sole Consultant and as a member of any joint venture

b. Annual Turn over

- 1) Annual turnover of any one of the last five financial years i.e. from 2009 -2010 to 2013-2014 updated to the current financial year shall be more than Rs 50 crores. In case of JV, the lead member shall have more than Rs 30 Crores of annual turn-over (updated) of any one of the last five financial years. This threshold for each other member shall be Rs 20 crores.
- 2) For arriving at updated value, turnover of any financial year shall be multiplied by the enhancement factor corresponding to that year.

c. Experience

Consultant must have as prime consultant successful experience as follows:

- 1) At least one Project having similar work costing not less than 400 cr. For JV, qualifying cost for lead member would be 250 cr and for other partner / s it would be 150 cr
- 2) Such work must have been completed within last ten Calendar years including 2014
- 3) A work would qualify as similar work if it meets with following:
 - A. Shall be IE/IC of four laning and above for state/national highway
 - B. Shall be of supervision consultancy for 4/6 laning of state/national highways.
 - C. Design / DPR preparation / proof checking of designs/DPR for similar 4 / 6 laning work of state/national highways.

Similar nature of road work would mean works of SH/NH or equivalent category of roads in India and abroad involving

- a. widening (upto wearing coat) of carriageway for four laning and above
- b. Project including civil works for road Widening and Strengthening and O&M activities.

Annexure-I

Expression of Interest Form for Consulting Engineering Firm or Joint Venture (To be filled up by each of the constituent in case of a Joint Venture)

1.

Consultant Name	Date of Establishment	Country	Type of organization			
			Individual	Partnership	Corporation	Other

2. Corporate/registered Office / Business Address / Telephone Nos. / Cable Address/Email address of consultant and its branch offices for Consultant (including members in case of JV)
3. Consultant's former name and year of establishment (including that of members in case of JV)
4. Narrative description of Consultant firms if any (Use other sheet, if necessary)
5. Name of, not more than two (2) principals who may be contacted with title, telephone number/ fax number, E mail address.

Annexure II
Financial Statement of the last five financial years.

Rupees in Cr.

Sr. No.	Particulars		2013-2014	2012-2013	2011-2012	2010-2011	2009-2010
1.	Annual turnover from Consulting business	Lead member					
		other member(1)					
		other member(2)					
2	Net Profit.	Lead member					
		other member(1)					
		other member(2)					

Notes:

- i.** Values should be duly certified by statutory body like Chartered Accountant or Independent Auditors who are competent to do so as recognized by the State concerned.
- ii.** The amount shall be stated in Indian Rupees (INR).
- iii.** For the purpose of short listing, conversion to Indian Rupees shall be based average of the buying and selling rates of Reserve Bank of India as on the Proposal submission date.
- iv.** In case the exchange rate for any currency is not available as per the provision of this section, then R&BD reserves the right to use the rate available from an alternative source at its sole discretion.

Sr. No.	Year	Updating factor
1	2013-2014	1.0
2	2012-2013	1.1
3	2011-2012	1.21
4	2010-2011	1.2221
5	2009-2010	1.34431

Annexure IV

Office Logistics and software availability

- (A) Office space in sq. m. and ownership status
- (A) List of software

Name of Software	Whether Web Enabled?	Number of Concurrent Users Permitted	Cost of Purchase In Rs.	Year of Purchase	Utility and Functions of the Software

Annexure V
Details of Experience

Sr. No	Projects Name / Year	Type of services rendered including (A, B,C)@	Cost of the assignment of category A Rs. In cr	Cost of the assignment of category B Rs. In cr	Cost of the assignment of category C Rs. In cr	Client (With complete address, contact person, telephone No. Fax No and E mail address)	Fee in INR (Applicant's share in case of JV)	Completion certificate from client (Reference page no)	Duration in months	Funding Agency	Sole / JV (if JV-state Lead /Partner with share)
1	2	3	4	5	6	7	8	9	10	11	12
1											

- A. Shall be IE/IC of four laning and above for state/national highway
- B. Shall be of supervision consultancy for 4/6 laning of state/national highways.
- C. Design / DPR preparation / proof checking of designs/DPR for similar 4 / 6 laning work of state/national highways.

Note: only those assignments shall be considered for which consultant has provided services as lead member in case that work is done by a JV.

Each assignment shall be supported by following details:

Name of Overall assignment:

Location of Overall assignment:

Owner's Name and Address:

**Completion (Actual/Estimated
vis-à-vis Stipulated):**

Description of assignment:

**Description of Services provided
by the firm:**

Authorized Signatory

Name of Client:

Annexure-VI
Employer's references

Annexure VII
Undertaking

I certify that the information in the above Expression of Interest forms is true to the best of my knowledge. I also understand that any misleading or wrong information will disqualified this application straightaway.

President / Managing Director
or
Authorized Signatory of Applicant