

**Expression of Interest (EOI)
for**

**Consultancy Services for Independent Audit of RAP Implementation
for Second Gujarat State Highway Project**

February 2016

Expression of Interest (EOI)
for
Consultancy Services for Independent Audit of RAP Implementation for
Second Gujarat State Highway Project

1. The Government of India on behalf of Government of Gujarat (hereinafter called "Borrower") has received financing from the International Bank for Reconstruction and Development (IBRD) (hereinafter called "WB") in the form of a ("loan") (hereinafter called "loan") towards the cost of Second Gujarat State Highway Project (GSHP-II). The Borrower intends to apply part of the proceeds for consulting services.

Background

2. The Government of Gujarat (GoG), through the Roads and Buildings Department (R&BD), has taken up the second Gujarat State Highway Project (GSHP-II), covering up-gradation, maintenance and improvement of identified core road network in the state. The GoG has proposed to take up this project with financial assistance from the World Bank. The improvements of 630 km in the project includes: (i) up-gradation corridors for a length of 350 km, involving the strengthening and upgrading of single/intermediate lane roads to standard 2-lane / 2-lane-with-paved-shoulders / 4-lanes, and (ii) major maintenance, of the remaining 280 km. In line with the prioritization exercise, R&BD has selected seventeen sections / corridors, aggregating to about 630 km in length.
3. Keeping in view the adverse impact the project will have on the people due to the implementation of the project, the Project Implementing Unit (PIU) of R&BD will appropriately resettle and rehabilitate the project affected persons (PAPs) and project affected families (PAFs) in accordance with the Resettlement Action Plan (RAP), Indigenous People's (Tribal) Development Plan (IPDP) and HIV / AIDS Prevention Plan (HPP), prepared confirming the world bank (the IBRD)'s operation policies and operational directives and proposed for the purpose. For the implementation of the RAP, the task and activities shall be carried out by the project implementation unit and respective state road project division offices; with necessary assistance from the supervision consultant (CSC); the Independent Engineer (Yet to Deploy) and the Project Management Consultant (PMC).
4. To monitor the above mentioned tasks, implementation of the RAP in particular, the PIU seeks to appoint an Independent Auditor (herein after called as "IA") having experience in carrying out such activities. The independent auditor (IA) shall associate with the PIU to monitor and audit the implementation of RAP, IPDP and HPP as part of GSHP II (The detailed TOR at this stage is available at www.gshp2.gov.in):

The consulting services ("Services") include:

- The objective of the assignment is to provide the timely information and monitor the RAP implementation activities of the project to enable the management to take corrective measures, wherever necessary; in order to meet the World Bank's requirements.

5. The Superintending Engineer, Project Implementation Unit, GSHP-II, On behalf Governor Of Gujarat, now invites eligible consulting firms to submit their interest to provide “Consultancy Services for Independent Audit of RAP Implementation for Second Gujarat State Highway Project” (herein after called “Service”). Consultants interested in providing the Service should provide information demonstrating that he has the required qualifications and relevant experience to perform the Services. Consultant may form Joint Venture of not exceeding 2 members to enhance their qualifications or have a sub consultancy agreement. Interested Consultant may apply for the above project based on their eligibility as per eligibility criteria mentioned in Section-IV.
6. Expression of Interest should be submitted in sealed cover and delivered at the Office of The Executive Engineer, SRP Division Vadodara on or before 26/02/2016 by 12:00 hours (IST). It shall be clearly marked- **Expression of interest for “Consultancy Services for Independent Audit of RAP Implementation for Second Gujarat State Highway Project”**.

The submission shall only be made to following address:

Office of the Executive Engineer
 State Road Project Division,
 Room No. 709, 7th Floor, E Wing,
 Kuber Bhavan, Kothi,
 Vadodara 390001 Gujarat
 Tel.: + 91 265 2418381
 e-mail: se-piu-rnb@gujarat.gov.in; sepiu.dksolanki@gmail.com

7. R&BD invites eligible consulting Engineering firms / companies / joint ventures to indicate their interest in providing the services in conformity to this document. The Particulars of Expression of interest Document (Proposal) are:

i)	Last date for submission of	26/02/2016 up to 12:00 hours (IST)
ii)	Opening of EOI	26/02/2016 at 12:30 hours (IST) at: Office of the Executive Engineer State Road Project Division, Room No. 709, 7th Floor, E Wing, Kuber Bhavan, Kothi, Vadodara 390001 Gujarat Tel.: + 91 265 2418381 In the presence of Representatives of applicants who wish to remain present.

8. A Firm or an individual Debarred by the World Bank in accordance with the anticorruption guidelines shall be ineligible for this Assignment in any form or way. A list of debarred firms and individuals is available at the Banks external website: www.worldbank.org/debarr

9. Interested Consultant may obtain further information at following address:

Office of the Superintending Engineer,
Project Implementation Unit, GSHP-II
Roads and Buildings Department,
Ground Floor, Nirman Bhavan, Sector-10/A
Gandhinagar-382010 Gujarat.

Tel.: + 91 07923252986

Fax: + 91 07923251915

E-mail: se-piu-rnb@gujarat.gov.in ; sepiu.dksolanki@gmail.com

GOVERNMENT OF GUJARAT
(Roads and Buildings Department)
SECOND GUJARAT STATE HIGHWAY PROJECT(GSHP-II)

LOAN No.:IN-8313	OFFICE OF THE SUPERINTENDING ENGINEER PROJECT IMPLEMENTATION UNIT, GSHP-II, ROADS AND BUILDINGS DEPARTMENT GROUND FLOOR, NIRMAN BHAVAN, SECTOR-10/A, GANDHINAGAR-382010 GUJARAT
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DATE: 11/02/2016

**Section-1:
Request For Submission Of Expression Of Interest**

To:

Dear Mr./Ms.:

1. The Government of India on behalf of Government of Gujarat (hereinafter called "Borrower") has received financing from the International Bank for Reconstruction and Development (IBRD) (hereinafter called "WB") in the form of a ("loan") (hereinafter called "loan") towards the cost of Second Gujarat State Highway Project (GSHP-II). The Borrower intends to apply a portion of the proceeds of this loan to eligible payments under the contract for which this Request for Expression of Interest is issued.
2. The Superintending Engineer, on behalf Governor of Gujarat, Project Implementation Unit, Gujarat State Highway Project -II, invites expression of interest to provide the Service from the eligible Consultants. More details of the Service in the form of TOR will be available on website www.gshp2.gov.in
3. Short listing of eligible Consultants will be as per criteria described in this EOI, in accordance with the policies of the International Bank for Reconstruction and Development (IBRD) detailed in the Guidelines - Selection and Employment of Consultants by World Bank Borrowers- which can be found at the following website: www.worldbank.org
4. The EOI includes the following documents:
Section I - Request for submission of Expression of Interest
Section II - Instructions for submission of Expression of Interest
Section III – Guidelines for Preparation of Expression of Interest
Section IV – Eligibility and short listing Criteria
Annexure -I to VII
5. Details of the EOI's submission date, time and address is as mentioned in EOI Documents.

Yours Sincerely,

Superintending Engineer,
Project Implementation Unit,
Ground Floor, Nirman Bhavan,
Sector-10/A, Gandhinagar-382010 Gujarat.
Tel.: + 91 07923252986
Fax: + 91 07923251915
E-mail: se-piu-rnb@gujarat.gov.in
sepiu.dksolanki@gmail.com

Section-II

Instructions for submission of Expression of Interest:

1. Consultant shall read thoroughly the guidelines provided under section III and IV before preparing EOI proposal.
2. Consultant who the meet short listing criteria given in section IV shall prepare EOI as per the guidelines given in section III. If the Consultant feels to submit certain information not covered in the guidelines would be in his interest, he may submit such information. But required details as per EOI document must be submitted in stipulated formats only.
3. Duly complete in all respects Expression of Interest (Application) must be submitted together with a Letter of Intent not later than **12.00 hours (IST) on 26/02/2016**. Documents in support of all qualification information shall be submitted with application as required. Application of EOI with qualification information shall be furnished on/before the due date of submission at the address shown under para 13 of this section.
4. The Application shall be the basis of drawing up a shortlist of eligible consultants who will be invited to submit proposal for services required.
5. All Applications shall be submitted in English language only.
6. Power of Attorney (on stamp paper duly notarized) of the person submitting the Expression of Interest on behalf of the Applicant shall be attached. Joint Venture (JV) shall not have more than 2 members. One of the members should be a Lead Member. Such Joint venture details and roles, responsibilities shall be submitted duly signed by each member. In case of consortium it should be clearly defined whether other member(s) are JV partner(s) or sub-consultant (s).
7. The Consultant (including the individual members of any Join Venture) shall submit only one Proposal, either in its own name or as part of a Joint Venture member in another Proposal, if a Consultant, including any joint Venture member, submits or participates in more than one proposal, all such proposals shall be disqualified and rejected.
8. The Application and all related correspondence and documents should be written in the English language only. Supporting documents and printed literature furnished by Applicant with the Application may be in any other language provided that they are accompanied with translations in the English language. Supporting materials, which are not translated into English, may not be considered. For the purpose of interpretation and evaluation of the Application, the English language translation shall prevail.
9. R&BD reserves the right to reject any Applications, without assigning any reasons thereof, at any stage.
10. The Applicant shall provide all the information sought under this Qualification Document. R&BD would evaluate only those Applications that are received in the required format and complete in all respects. Incomplete and / or conditional Applications shall be liable to rejection.
11. Detailed TOR will be available on website www.gshp2.gov.in
12. No claims whatsoever will be entertained if submission is not received by due

date and time.

13. The submission must be addressed to the following:
Office of the Executive Engineer
State Road Project Division,
Room No. 709, 7th Floor, E Wing,
Kuber Bhavan, Kothi,
Vadodara 390001 Gujarat
Tel.: + 91 265 2418381
14. The Proposal of EOI must be submitted no later than:
Date: **26/02/2016**
Time: **12:00 hours (IST)**
15. The opening of EOI Proposal-
Procedure of opening shall be:
EOIs will be opened by SE PIU on stipulated date and time in the presence of member of opening committee and consultant or their authorised representative who have offered the EOI proposal may choose to remain present.
The opening shall take place at:
Office of the Executive Engineer
State Road Project Division,
Room No. 709, 7th Floor, E Wing,
Kuber Bhavan, Kothi,
Vadodara 390001 Gujarat
Tel.: + 91 265 2418381
Date: **26/02/2016**
Time: **12:30 hours (IST)**
16. An authorized representative of the Consultant shall sign the original submission letters in the required format for EOI submission and shall initial all pages. The authorization shall be in the form of a written power of attorney attached to the EOI Proposal.
17. The signed EOI shall be marked "ORIGINAL", and its one copy marked "COPY" as appropriate. Copy shall be made from the signed original. If there are discrepancies between the original and the copy, the original shall prevail.
18. The original and the copy of the EOI submission shall be placed inside of a sealed envelope clearly marked "**EOI PROPOSAL**", to provide "**Consultancy Services for Independent Audit of RAP Implementation for Second Gujarat State Highway Project**", reference number, name and address of the Consultant, and with a warning "**Do NOT OPEN UNTIL 26/02/2016, 12:30 HOURS(IST).**"
19. The sealed envelopes containing the EOI submission original and copy shall be placed into one outer envelope and sealed. This outer envelope shall bear the submission address, -reference number, the name of the assignment, Consultant's name and the address, and shall be clearly marked "**Do NOT OPEN BEFORE DATE 26/02/2016 HOURS 12:30 (IST)**".
20. If the envelopes and packages with the EOI are not sealed and marked as required, the Client will assume no responsibility for the misplacement, loss, or premature opening of the EOI.

Section - III

Guidelines for Preparation of Expression of Interest

Following information shall be submitted using given formats and where no formats are specified, appropriate standard format/s may be used.

1. Complete name of firm, date of establishment and type of organization whether individual, proprietorship, partnership, private limited company, public limited company etc. (as per Annexure I).
2. Exact and complete corporate/registered/home office address, business address, telephone numbers, fax numbers, E-mail and cable address. For consultant of foreign registry, indicate if there is any branch office(s) established in India with details in aforesaid manner. Information is to be furnished by sole applicant or members of JV individually (as per Annexure I).
3. If present firm is the successor to or outgrowth of one or more predecessor firms, fresh name(s) of former entity (ties) and year(s) of their original establishment with details in aforesaid manner. Information is to be furnished by sole applicant or members of JV individually (as per Annexure I).
4. Present a brief narrative description of the firms. Information is to be furnished by sole applicant or members of JV individually (as per Annexure I).
5. List of not more than two (2) principals who may be contacted by this Office. Listed principals must be empowered to speak for him or for the firm on policy and contractual matters. Information is to be furnished by sole applicant or members of JV individually. (as per Annexure I)
6. Under this item, indicate financial figures from consultancy business for past 5 (five) financial years. (as per Annexure II)
7. (i) Organizational strength of consultant and its constituents in case of a JV shall be given. (as per Annexure III)
(ii) Office logistics for consultant and its constituents in case of JV in terms of office space, engineering software. Availability of engineering software which are web enabled and have less than 10 concurrent user license shall be mentioned (as per Annexure IV).
8. Consultant's experience shall have completed assignments for monitoring and/or implementation of Resettlement Action Plan (RAP), Indigenous People's (Tribal) Development Plan (IPDP) and HIV / AIDS Prevention Plan (HPP) in developing countries for road sector in last ten years (Annexure V).
9. As many references from employers as the consultant (for each member in case of JV) may choose shall be submitted as per Annexure-VI.
10. An undertaking for supply of true information shall be given as per Annexure VII.

Section - IV
Short Listing Criteria

1. Annual Turn over

- a. Annual turnover of any one of the last five financial years i.e. from 2010 -2011 to 2014-2015 updated to the current financial year shall be at least Rs 20 lacs. In case of JV, the lead member shall have more than INR 15 lacs of annual turn-over (updated) of any one of the last five financial years. This threshold for other member shall be INR 8 lacs.
- b. For arriving at updated value, turnover of any financial year shall be multiplied by the enhancement factor corresponding to that year.

2. Experience

- a. Completed assignments for- monitoring and/or implementation of Resettlement Action Plan (RAP), Indigenous People's (Tribal) Development Plan (IPDP) and HIV / AIDS Prevention Plan (HPP) in developing countries for road sector in last ten years.

For JV, Prime Consultant must have completed at least three such of assignments the JV partner must have done the at least one such assignment. For sole consultant, it must have completed at least four such assignments.

Annexure-I

Expression of Interest Form for Consulting Engineering Firm or Joint venture (to be filled up by each of the constituent in case of a Joint Venture)

1.

Consultant	Date of Establishment	Country	Type of organization			
			Individual	Partnership	Corporation	Other
Name						

2. Corporate/registered Office / Business Address / Telephone Nos. / Cable Address/Email address of consultant and its branch offices for Consultant (including members in case of JV)
3. Consultant's former name and year of establishment (including that of members in case of JV)
4. Narrative description of Consultant firms if any (Use other sheet, if necessary)
5. Name of, not more than two (2) principals who may be contacted with title, telephone number/ fax number, E mail address.

Annexure II

Financial Statement of the last five financial years.

INR in Crores

Sr. No.	Particulars		2014-2015	2013-2014	2012-2013	2011-2012	2010-2011
1.	Annual turnover from Consulting business	Lead member					
		other member(1)					
2	Net Profit	Lead member					
		other member(1)					

Notes:

- i. Values should be duly certified by statutory body like Chartered Accountant or Independent Auditors who are competent to do so as recognized by the State concerned.
- ii. The amount shall be stated in Indian Rupees (INR).
- iii. For the purpose of short listing, conversion to Indian Rupees shall be based average of the buying and selling rates of Reserve Bank of India as on the Proposal submission date.
- iv. In case the exchange rate for any currency is not available as per the provision of this section, then R&BD reserves the right to use the rate available from an alternative source at its sole discretion.

Sr. No.	Year	Updating factor
1	2014-2015	1.0
2	2013-2014	1.1
3	2012-2013	1.21
4	2011-2012	1.2221
5	2010-2011	1.34431

Annexure IV

Office Logistics and software availability

- (A) Office space in sq. m. and ownership status
- (B) List of software

Name of software	Whether web enabled?	Number of concurrent users permitted	cost of purchase in Rs.	Year of purchase	Utility and functions of the software

Annexure V

Details of Experience

SrNo	Projects Name* / Year	Type of services rendered	Cost of the assignment	Client (With complete address, contact person, telephone No. Fax No and E mail address)	Fee in INR (Applicant's share in case of JV)	Duration in months	Funding Agency
1	2	3	4	5	6	7	8
1	Sole Consultant or for each of the members in case of JV						

*Completed Assignments involving monitoring and/or implementation of Resettlement Action Plan (RAP), Indigenous People's (Tribal) Development Plan (IPDP) and HIV / AIDS Prevention Plan (HPP) in developing countries for road sector in last ten years.

Note: Only those assignments shall be considered for which consultant has provided services as lead member in case that work is done by a JV.

Each assignment shall be supported by following details:

Name of Overall assignment: _____

Location of Overall assignment: _____

Owner's Name and Address: _____

Completion (Actual/Estimated vis-à-vis Stipulated): _____

Description of assignment: _____

Description of Services provided by the firm: _____

Authorized Signatory
Name of Client

Annexure-VI

Employer's references

Annexure VII

Undertaking

I certify that the information in the above Expression of Interest forms is true to the best of my knowledge. I also understand that any misleading or wrong information will disqualified this application straightaway.

President / Managing Director
or
Authorized Signatory of Applicant