

**Expression of Interest (EOI)
for
Consultancy Services for
Road Sector Knowledge & Capacity Development:
Centers of Excellence, Workshops & Advanced Seminars
For
Gujarat State Highway Project- II**

January 2015

**Expression of Interest (EOI)
for Consultancy Services for
Road Sector Knowledge & Capacity Development:
Centers of Excellence, Workshops & Advanced Seminars**

1. The Government of India on behalf of Government of Gujarat (hereinafter called "Borrower") has received financing from the International Bank for Reconstruction and Development (IBRD) (hereinafter called "WB") in the form of a "loan" (hereinafter called "loan") toward the cost of Second Gujarat State Highway Project (GSHP-II). The Borrower intends to apply part of the proceeds for consulting services.

Background

1. The state Government of Gujarat (GOG) through the Government of India (GOI) has received funding assistance from the World Bank (WB) for implementation of the Second Gujarat State Highway Project (GSHP-II), to be managed primarily by the GOG, Roads & Buildings Department (R&BD). It is intended that a portion of this WB funding will be used to finance consultancy, advisory and technical services required for implementation of various important activities during the Project which are aimed at further developing and strengthening the road sector institutional and policy framework and capacities to meet the growing challenges in the sector through the state's economic development and increasing demand for road transport infrastructure quality, capacity and connectivity.
2. The groundwork for policy reform and institutional strengthening in the state's roads sector was put in place over 1999-2007 under the first GSHP, also implemented with World Bank assistance. The new GSHP-II will enable the GOG to build on that via its Sector Policy & Institutional Development Component, comprising a range of 'second-stage' roads sector institutional and capacity-development initiatives combined in a new GOG-endorsed Institutional Development Action Plan (IDAP) covering the period 2013 - 2019.
3. The 2013 – 2019 IDAP will be an integral part of the overall Project strategy and shares linkages with - and will be implemented in parallel with - a new sector-level multi-year Governance & Accountability Action Plan (GAAP). The IDAP in 'summary matrix' format will be used between the GOG, the R&BD and the World Bank to guide and monitor the IDAP implementation process and its results relative to a range of clear monitorable targets and milestones in three major fields, viz.
 - **Road Sector Policy & Planning** (roads policy/strategy/planning, safety, governance)
 - **R&BD – centered Capacity Development** (rules, standards, business processes, operations equipment & technology, Information Technology & MIS, staff HRD)
 - **Road Sector Knowledge & Capacity Development** (enhancing sector entities, new centers of excellence, collaborative sector knowledge/skills advancement programs)
4. For the IDAP implementation program, the R&BD will require various forms of external assistance and inputs. In this instance, it has been decided that the implementation of **Sector Knowledge & Capacity Development services** will require expert Technical Assistance (TA), to be engaged on the basis of consulting services.

The overall assignment and its specific elements involved in this particular consultancy services are given in brief here under (The detailed draft TOR at this stage is made available at www.gshp2.gov.in):

The consulting services (“Services”) include:

- The overall objective of these **Sector Knowledge & Capacity Development** services is to assist the R&BD in implementing specific new programs, collaboratively with other Gujarat roads sector stakeholders and technical institutes, aimed at achieving sector-wide advances in concepts, knowledge and applied science in road infrastructure planning, design, management and governance, in accordance with the R&BD-centered IDAP.
 - The assignment will comprise two ‘study-based’ Tasks as outlined below.
 - i. Study for collaborative initiation between R&BD and leading Gujarat technical and professional institutes of a **‘pilot’ program of Seminars and Workshops on technical, management and governance** topics, featuring international-standard sector experts.
 - ii. Study for identification, feasibility, design, operations and governance of two possible R&BD-linked **Centers of Excellence** for the roads sector in Gujarat, which would individually and/or jointly address sector ‘thrust areas’ such as the following
 - **Road & Bridge Design & Innovation**, and
 - **Construction Management** (potentially termed an **Academy**)
 - Each of these two major Tasks is expected to require (i) an initial Study (of varying length, complexity and/or methodology) to determine the design and feasibility requirements of the respective initiative(s); (ii) preparation of Study-based submissions and documentation as required for R&BD and/or GOG-level decision-making on Study recommendations; and (iii) in the event of affirmative R&BD or GOG decisions upon the recommendations, facilitation of initial post-Study implementation action as determined by the Client.
5. The Superintending Engineer, Project Implementation Unit, GSHP-II, On behalf Governor Of Gujarat, now invites eligible consulting firms to submit their interest to provide consultancy services for "Road Sector Knowledge & Capacity Development: Centers of Excellence, Workshops & Advanced Seminars" (herein after called “Service”). Consultants interested in providing the Service should provide information demonstrating that he has the required qualifications and relevant experience to perform the Services. Consultant may form Joint Venture of not exceeding 3 members to enhance their qualifications or have a sub consultancy agreement. Interested Consultant may apply for the above project based on their eligibility as per eligibility criteria mentioned in Section-IV.
6. Expression of Interest should be submitted in sealed cover and delivered at the Office of The Executive Engineer, SRP Division Mehsana on or before 13/02/2015 by 16:00 hours (IST). It shall be clearly marked- **Expression of interest for “Consultancy Services for Road Sector Knowledge & Capacity Development: Centers of Excellence, Workshops & Advanced Seminars”**.

The submission shall only be made to following address:

Office of the Executive Engineer,
State Road Project Division
Beside Road & Building Sub-division
Opposite Circuit House
Mehsana – 384002 Gujarat
Tel.: + 91 276 2231080
e-mail: se-piu-rnb@gujarat.gov.in; sepiu.dksolanki@gmail.com

7. R&BD invites eligible consulting Engineering firms / companies / joint ventures/ research institutes to indicate their interest in providing the services in conformity to this document.

The Particulars of Expression of interest Document (Proposal) are:

i)	Last date for submission of EOI	13/02/2015 up to 16:00 hours (IST)
ii)	Opening of EOI	13/02/2015 at 16:30 hours (IST) at: Office of the Executive Engineer, State Road Project Division Beside Road & Building Sub-division Opposite Circuit House Mehsana – 384002 Gujarat Tel: + 91 276 2231080 In the presence of Representatives of applicants who wish to remain present.

8. A Firm or an individual Debarred by the World Bank in accordance with the anticorruption guidelines shall be ineligible for this Assignment in any form or way. A list of debarred firms and individuals is available at the Banks external website: www.worldbank.org/debarr

9. Interested Consultant may obtain further information at following address:

Office of the Superintending Engineer,
Project Implementation Unit, GSHP-II
Roads and Buildings Department,
Ground Floor, Nirman Bhavan, Sector-10/A
Gandhinagar-382010 Gujarat.
Tel.: + 91 079 232 52986
Fax: + 91 079 232 51915

E-mail: se-piu-rnb@gujarat.gov.in; sepiu.dksolanki@gmail.com

GOVERNMENT OF GUJARAT
(Roads and Buildings Department)
SECOND GUJARAT STATE HIGHWAY PROJECT(GSHP-II)

No. 3/2014-15 LOAN No.:IN-8313	OFFICE OF THE SUPERINTENDING ENGINEER PROJECT IMPLEMENTATION UNIT, GSHP-II, ROADS AND BUILDINGS DEPARTMENT GROUND FLOOR, NIRMAN BHAVAN, SECTOR-10/A, GANDHINAGAR-382010 GUJARAT
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DATE: 13/01/2015

Section-1:

Request for submission of Expression of Interest

To:

Dear Mr./Ms.:

1. The Government of India on behalf of Government of Gujarat (hereinafter called "Borrower") has received financing from the International Bank for Reconstruction and Development (IBRD) (hereinafter called "WB") in the form of a "loan" (hereinafter called "loan") towards the cost of Second Gujarat State Highway Project (GSHP-II). The Borrower intends to apply a portion of the proceeds of this loan to eligible payments under the contract for which this Request for Expression of Interest is issued.
2. The Superintending Engineer, on behalf Governor of Gujarat, Project Implementation Unit, Gujarat State Highway Project -II, invites expression of interest to provide the Service from the eligible Consultants. More details of the Service in the form of TOR will be available on website www.gshp2.gov.in
3. Short listing of eligible Consultants will be as per criteria described in this EOI, in accordance with the policies of the International Bank for Reconstruction and Development (IBRD) detailed in the Guidelines - Selection and Employment of Consultants by World Bank Borrowers- which can be found at the following website: www.worldbank.org
4. The EOI includes the following documents:
Section I - Request for submission of Expression of Interest
Section II - Instructions for submission of Expression of Interest
Section III – Guidelines for Preparation of Expression of Interest
Section IV – Eligibility and short listing Criteria
Annexure -I to VI
5. Details of the EOI's submission date, time and address is as mentioned in EOI Documents.

Yours Sincerely,

Superintending Engineer,
Project Implementation Unit,
Ground Floor, Nirman Bhavan,
Sector-10/A Gandhinagar-382010 Gujarat.
Tel.: + 91 07923252986
Fax: + 91 07923251915

E-mail: se-piu-rnb@gujarat.gov.in; sepiu.dksolanki@gmail.com

Section-II

Instructions for submission of Expression of Interest:

1. Consultant shall read thoroughly the guidelines provided under section III and IV before preparing EOI proposal.
2. Consultant who the meet short listing criteria given in section IV shall prepare EOI as per the guidelines given in section III. If the Consultant feels to submit certain information not covered in the guidelines would be in his interest, he may submit such information. But required details as per EOI document must be submitted in stipulated formats only.
3. Duly complete in all respects Expression of Interest (Application) must be submitted together with a Letter of Intent not later than **16.00 hours (IST) on 13/02/2015**. Documents in support of all qualification information shall be submitted with application as required. Application of EOI with qualification information shall be furnished on/before the due date of submission at the address shown under para 13 of this section.
4. The Application shall be the basis of drawing up a shortlist of eligible consultants who will be invited to submit proposal for services required.
5. All Applications shall be submitted in English language only.
6. Power of Attorney (on stamp paper duly notarized) of the person submitting the Expression of Interest on behalf of the Applicant shall be attached. Joint Venture (JV) shall not have more than 3 members. One of the members should be a Lead Member. Such Joint venture details and roles, responsibilities shall be submitted duly signed by each member.
7. The Consultant (including the individual members of any Join Venture) shall submit only one Proposal, either in its own name or as part of a Joint Venture member in another Proposal, if a Consultant, including any joint Venture member, submits or participates in more than one proposal, all such proposals shall be disqualified and rejected.
8. The Application and all related correspondence and documents should be written in the English language only. Supporting documents and printed literature furnished by Applicant with the Application may be in any other language provided that they are accompanied with translations in the English language. Supporting materials, which are not translated into English, may not be considered. For the purpose of interpretation and evaluation of the Application, the English language translation shall prevail.
9. R&BD reserves the right to reject any Applications, without assigning any reasons thereof, at any stage.
10. The Applicant shall provide all the information sought under this Qualification Document. R&BD would evaluate only those Applications that are received in the required format and complete in all respects. Incomplete and / or conditional Applications shall be liable to rejection.
11. Detailed TOR will be available on website www.gshp2.gov.in
12. No claims whatsoever will be entertained if submission is not received by due date and time.

13. The submission must be addressed to the following:
Office of the Executive Engineer,
State Road Project Division
Beside Road & Building Sub-division
Opposite Circuit House
Mehsana – 384002 Gujarat
Tel.: + 91 276 2231080
14. The Proposal of EOI must be submitted no later than:
Date: 13/02/2015
Time: 16:00 hours (IST)
15. The opening of EOI Proposal:
Procedure of opening shall be:- EOIs will be opened by SE PIU on stipulated date and time in the presence of member of opening committee and consultant or their authorised representative who have offered the EOI proposal may choose to remain present.
The opening shall take place at:
Office of the Executive Engineer,
State Road Project Division
Beside Road & Building Sub-division
Opposite Circuit House
Mehsana – 384002 Gujarat
Tel.: + 91 276 2231080
Date: 13/02/2015
Time: 16:30 hours (IST)
16. An authorized representative of the Consultant shall sign the original submission letters in the required format for EOI submission and shall initial all pages. The authorization shall be in the form of a written power of attorney attached to the EOI Proposal.
17. The signed EOI shall be marked "ORIGINAL", and its one copy marked "COPY" as appropriate. Copy shall be made from the signed original. If there are discrepancies between the original and the copy, the original shall prevail.
18. The original and the copy of the EOI submission shall be placed inside of a sealed envelope clearly marked "EOI PROPOSAL", to provide "Consultancy Services for Road Sector Knowledge & Capacity Development: Centers of Excellence, Workshops & Advanced Seminars", reference number, name and address of the Consultant, and with a warning "Do NOT OPEN UNTIL 13/02/2015, 16:30 HOURS(IST)."
19. The sealed envelopes containing the EOI submission original and copy shall be placed into one outer envelope and sealed. This outer envelope shall bear the submission address, -reference number, the name of the assignment, Consultant's name and the address, and shall be clearly marked "Do NOT OPEN BEFORE DATE 13/02/2015 HOURS 16:30 (IST)".
20. If the envelopes and packages with the EOI are not sealed and marked as required, the Client will assume no responsibility for the misplacement, loss, or premature opening of the EOI.

Section - III

Guidelines for Preparation of Expression of Interest

Following information shall be submitted using given formats and where no formats are specified, appropriate standard format/s may be used.

1. Complete name of firm, date of establishment and type of organization whether individual, proprietorship, partnership, private limited company, public limited company etc. (as per Annexure I).
2. Exact and complete corporate/registered/home office address, business address, telephone numbers, fax numbers, E-mail and cable address. For consultant of foreign registry, indicate if there is any branch office(s) established in India with details in aforesaid manner. Information is to be furnished by sole applicant or members of JV individually (as per Annexure I).
3. If present firm is the successor to or outgrowth of one or more predecessor firms, fresh name(s) of former entity (ties) and year(s) of their original establishment with details in aforesaid manner. Information is to be furnished by sole applicant or members of JV individually (as per Annexure I).
4. Present a brief narrative description of the firms. Information is to be furnished by sole applicant or members of JV individually (as per Annexure I).
5. List of not more than two (2) principals who may be contacted by this Office.
Listed principals must be empowered to speak for him or for the firm on policy and contractual matters. Information is to be furnished by sole applicant or members of JV individually. (as per Annexure I)
6. (i) Organizational strength of consultant and its constituents in case of a JV shall be given. (as per Annexure II)

(ii) Office logistics for consultant and its constituents in case of JV in terms of office space, Engineering software. Availability of engineering software which are web enabled and have less than 10 concurrent user license shall be mentioned. (as per Annexure III).
7. Consultant's experience shall be given under four categories.
 - A. Assignment involving capacity building/capacity development of government owned road agencies in any developing country
 - B. Institutional assignment involving studies, preparation of frameworks and implementation strategies for centers of excellence for public sector specially focused on infrastructure planning, engineering, construction, financing or management in any developing country.
 - C. Assignment involving preparation of program proposals, organizing advanced seminars, workshops, training programs and successfully implementing relevant program management systems.
 - D. Other institutional and/or HR/Training/Capacity Building assignments.
(as per Annexure IV)
9. As many references from employers as the consultant (for each member in case of JV) may choose shall be submitted as per Annexure-V.
10. An undertaking for supply of true information shall be given as per Annexure-VI.

Section - IV
Short Listing Criteria

a. Experience

- A. Assignment involving capacity building/capacity development of government owned road agencies in any developing country
- B. Institutional assignment involving studies, preparation of frameworks and implementation strategies for centers of excellence for public sector specially focused on infrastructure planning, engineering, construction, financing or management in any developing country.
- C. Assignment involving preparation of program proposals, organizing advanced seminars, workshops, training programs and successfully implementing relevant program management systems.
- D. Other institutional and/or HR/Training/Capacity Building assignments.

For JV, Prime Consultant must have completed at least three types of assignments out of the four mentioned above and the JV partner must have done the remaining type of assignment.

For sole consultant, he must have completed each of the above four types of assignments. All the assignments must have preferably been funded by World Bank or by any other multi donor banks in any developing country.

Annexure-I

**Expression of Interest Form for Consulting Engineering Firm or Joint Venture
(To be filled up by each of the constituent in case of a Joint Venture)**

1.

Consultant	Date of Establishment	Country	Type of organization			
			Individual	Partnership	Corporation	Other
Name						

2. Corporate/registered Office / Business Address / Telephone Nos. / Cable Address/Email address of consultant and its branch offices for Consultant (including members in case of JV)
3. Consultant's former name and year of establishment (including that of members in case of JV)
4. Narrative description of Consultant firms if any (Use other sheet, if necessary)
5. Name of, not more than two (2) principals who may be contacted with title, telephone number/ fax number, E mail address.

Annexure III

Office Logistics and software availability

(A) Office space in sq. m. and ownership status

(A) List of software

Name of software	Whether web enabled?	Number of concurrent users permitted	cost of purchase in Rs.	Year of purchase	Utility and functions of the software

Annexure IV

Details of Experience

Sr No	Projects Name / Year	Type of services rendered including (A, B,C and D)@	<i>Cost of the assignment of category A Rs. In cr.</i>	<i>Cost of the assignment of category B Rs. In cr</i>	<i>Cost of the assignment of category C Rs. In cr</i>	<i>Cost of the assignment of category D Rs. In cr</i>	Client (With complete address, contact person, telephone No. Fax No and E mail address)	Fee in INR (Applicant's share in case of JV)	Duration in months	Funding Agency
1	2	3	4	5	6	7	8	9	10	11
1	Sole Consultant or for each of the members in case of JV,									
	(a) Funded by WB									
	(b) Funded by Multi Doner bank									
	(c) India									

A. Assignment involving capacity building/capacity development of government owned road agencies in any developing country

B. Institutional assignment involving studies, preparation of frameworks and implementation strategies for centers of excellence for public sector specially focused on infrastructure planning, engineering, construction, financing or management in any developing country.

C. Assignment involving preparation of program proposals, organizing advanced seminars, workshops, training programs and successfully implementing relevant program management systems.

D. Other institutional and/or HR/Training/Capacity Building assignments.

Note: only those assignments shall be considered for which consultant has provided services as lead member in case that work is done by a JV.

Each assignment shall be supported by following details:

Name of Overall assignment: _____

Location of Overall assignment: _____

Owner's Name and Address: _____

Completion (Actual/Estimated vis-à-vis Stipulated): _____

Description of assignment: _____

Description of Services provided by the firm: _____

Authorized Signatory

Name of Client

Annexure-V

Employer's references

Annexure VI

Undertaking

I certify that the information in the above Expression of Interest forms is true to the best of my knowledge. I also understand that any misleading or wrong information will disqualified this application straightaway.

President / Managing Director
or
Authorized Signatory of Applicant

SHORT LISTING PARAMETERS AND MARKING SYSTEM (Not to be part of EOI)

Sr. No.^I	Description	Max Marks	Parameter^{II}	Marking System^{***}
1	Calendar Year of Establishment	15	Years of establishment of firm	20 years and more i.e. 1994 or before, 15-marks else prorata. Year 2014 will fetch 1 mark.
2	Organizational strength of parent firm	25		
a)	Engineers and institutional expert on payroll and having over 15 years experience	5	Nos.	=> 50 Nos, 5 marks, below it prorata
b)	Engineers and institutional expert continuously on payroll for over 10 years	5	Nos	=> 25 Nos., 5 marks below it prorata
c)	Engineers and institutional expert working only in road sector for over 10 years	5	Nos.	=> 25 Nos,5 marks, below it prorata
d)	Nos. of software related to Assignment	2	Nos. of software.	Nos. of software =>10 2 marks, below it prorate Web enabled, minimum 10 concurrent user license software each in road design, bridge design, construction planning and drafting, 2 marks else prorata
e)	Nos. of Staff [^] on the firm's payroll having educational qualification	6	Nos.	Above 5 Doctorates- 3 marks below it prorata, above 15 post Graduates- 2 marks below it prorata and above 30 Graduates- 1 marks below it prorata
f)	Institutional experts having experience of over 10 years on payroll for over 5 years	2	Nos.	Above 4, 2 marks, below it prorata

Sr. No.	Description	Max Marks	Marking parameter and system		
3*	Aggregate Experience in category A B C and D type of assignment funded by WB during the last 10 years	25	Type of Work	Particular	Marks
			Similar nature of assignment (A)	>=2 <2 prorata	8
			Similar nature of assignment (B)	>=2 <2 prorata	7
			Similar nature of assignment (C)	>=2 <2 prorata	7
			Other assignment (D)	>=2 <2 prorata	3
4*	Aggregate Experience in category A,B,C and D type of assignment funded by other multi donor banks (Other than World Bank) during the last 10 years	15	Type of Work	Particular.	Marks
			Similar nature of assignment (A)	>=2 <2 prorata	5
			Similar nature of assignment (B)	>=2 <2 prorata	4
			Similar nature of assignment (C)	>=2 <2 prorata	4
			Other assignment (D)	>=2 <2 prorata	2
5*	Aggregate Experience in category A,B,C and D type of assignment in India (other than WB or multi donor bank funded project) during the last 10years	15	Type of Work	Particular	Marks
			Similar nature of assignment (A)	>=2 <2 prorata	4.5
			Similar nature of assignment (B)	>=2 <2 prorata	4.5
			Similar nature of assignment (C)	>=2 <2 prorata	4.5
			Other assignment (D)	>=2 <2 prorata	1.5
6	Reference from Employers	5	>2 5 marks =2 3 marks =1 2 marks		

* Works qualifying for experience under more than one serial numbers from 3 to 5 will be considered once only.

- A. Assignment involving capacity building/capacity development of government owned road agencies in any developing country
- B. Institutional assignment involving studies, preparation of frameworks and implementation strategies for centers of excellence for public sector specially focused on infrastructure planning, engineering, construction, financing or management in any developing country.
- C. Assignment involving preparation of program proposals, organizing advanced seminars, workshops, training programs and successfully implementing relevant program management systems.
- D. Other institutional and/or HR/Training/Capacity Building assignments.